Sleep Apnea:

...the heart suffers even while sleeping...



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Cosme Argerich Hospital Symposium November 2010

Sleep Apnea and Cardiovascular Disease

- 1. Definitions and clinical symptoms
- 2. Epidemiology
- 3. Pathophysiology
- 4. The link between SA and cardiovascular disease
- 5. Diagnostic yield
- 6. Brief summary of management
- 7. Areas of investigation

Sleep Apnea Definitions

- SA: a history of excessive sleepiness, frequent episodes of obstructing breathing during sleep, snoring, morning headaches, "arousals" + polysomnography showing apneic episodes longer than 10 seconds (at least 5 per hour)
- 2. Apnea: cessation of air flow, 0_2 saturation reduction $\ge 4\%$
 - Central: alteration at CNS level
 - Obstructive: alteration at the upper respiratory way
- 3. Hypopnea: air flow reduction \geq 30%, 02 saturation reduction \geq 4%
- 4. AHI (Apnea/hypopnea index): amount of apneas & hypopneas per hour

Sleep Apnea: Pathophysiology



Vol. 41, No. 5 March/April 1999

Pathogenesis of Obstructive Sleep Apnea

M. Safwan Badr

Mechanisms of obstruction of airways during sleep:

- 1. Sub-atmospheric intraluminal pressure
- 2. Expiratory narrowing
- 3. Ventilatory-motor reduction
- 4. Mixed theory: central + obstructive

The link between SA and cardiovascular morbidity

Cardiovascular Morbidity in Obstructive Sleep Apnea

Progress in Cardiovascular Diseases, Vol. 41, No. 5 (March/April), 1999: pp 367-376

J. Woodrow Weiss, Sandrine H. Launois, Amit Anand, and Erik Garpestad

- 1. <u>Physiological changes during sleep</u>
- a. In NREM stage: BP and HR reduction (10-20%): \downarrow of CO and TPR, \downarrow sympathetic tone in phase 4 of NREM
- b. In REM stage: vasoconstriction + fluctuation of CO and HR

2. Acute changes during SA

- a. HR: there are 2 possible patterns:
 - Bradycardia at the onset of apnea, acceleration during SA (chemoreceptors) and acceleration peak at the end of apnea and arousal (*more frequent*)
 - Progressive bradycardia along the apnea
- b. BP: increase at the end of apnea (> desaturation, ↑ sympathetic tone by arousal)
- c. Cardiac Output: ↓ ejection volume during apnea along with intrapleural negative pressure

Pathophysiologic mechanisms of cardiovascular morbidity



In summary...



Sleep Apnea: SA and Arrhythmias

- 1. Bradyarrhythmias
 - Sinus arrest
 - AV block
- 2. Tachyarrhythmias
 - Atrial fibrillation
 - Ventricular arrhythmias

What is the available evidence?

Sleep Apnea: SA and Arrhythmias

Association of Nocturnal Arrhythmias with Sleep-disordered Breathing

The Sleep Heart Health Study

AMERICAN JOURNAL OF RESPIRATORY AND CRITICAL CARE MEDICINE VOL 173 2006

	5DB //		Decement's 3	30		
	(n = 228)	(n = 338)	p Value	25		
Ventricula	r Arrhythmias			~		
Premature Ventricular Contraction				్రి 20		
(≥ 5/h)	35.1	21.3	0.0003	ge		14.5 14
Bigeminy	14.0	8.0	0.02	£ 15		11.8
Trigeminy	9.2	5.6	0.10	ce		
Quadrigeminy	11.8	5.9	0.01	a 10		5.9
Nonsustained ventricular tachycardia	5.3	1.2	0.004	-	4.8	5.3
Complex ventricular ectopy*	25	14.5	0.002	5	0.9	1.2
Supraventric	ular Arrhythm	ias				
Premature atrial contraction (> 5/h)*	33.8	24.3	0.001	1	AF CVE	NSVT Bigeminy Quadrigeminy
Atrial fibrillation	4.8	0.9	0.003	(p=0	0.003) (p=.002)	(p=0.004) (p=0.02) (p=0.01)
Supraventricular tachy cardia	14.9	14.5	0.89			
Conduction D	elay Arrhythr	nias		The		ation botwoon SA
Sinus pause (≥ 3 s)	11.0	8.6	0.34		assuur	alion between SP
First-degree atrioventricular block	25.0	22.5	0.49	and	I A E in h	iably cignificant
Second-degree atrioventricular				anu	AF 15 11	igniy signincant.
block type 1	1.8	0.3	0.07	CV	ia alaa a	accordated to
Second-degree atrioventricular				JA	15 2120 5	
block type 2	2.2	0.9	0.20		trioulor	orrbythmico
Intraventricular conduction delay	8.9	5.3	0.11	ven	incular	armyinmias.

Sleep Apnea: SA and AF

Association of Atrial Fibrillation and Obstructive Sleep Apnea

Apoor S. Gami, MD; Gregg Pressman, MD; Sean M. Caples, MD; Ravi Kanagala, MD; Joseph J. Gard, BS; Diane E. Davison, RN, MA; Joseph F. Malouf, MD; Naser M. Ammash, MD; Paul A. Friedman, MD; Virend K. Somers, MD, PhD



It's time to wake up!: sleep apnea and cardiac arrhythmias



Sleep Apnea : SA and Arrhythmias

It's time to wake up!: sleep apnea and cardiac arrhythmias

- (i) Impaired autonomic nervous control has been demonstrated in patients with OSA, manifesting as increased sympathetic tone and/or decreased parasympathetic tone. Decreased baroreflex sensitivity, reduced vagal input, and impairment of the parasympathetic components of the heart rate variability have been demonstrated in patients with OSA.^{22,23}
- (ii) A persistent increase in sympathetic tone, as occurring in OSA, has been shown to generate abnormal electrical remodelling of the atrium, facilitating supraventricular arrhythmias, and AF in particular.²⁴ Specifically, <u>electrical remodelling</u> may create some degree of interatrial block, contributing to the genesis of atrial arrhythmias.²⁵
- (iii) A strong association between OSA and <u>hypertension</u> has been reported extensively.^{6,26} As well, the association between hypertension and AF is well recognized.^{27,28} Although purely speculative, the link

EDITORIAL COMMENTARY Understanding the association between sleep apnea & cardiac arrhythmias Adrian Baranchuk MD FACC¹, Christopher S. Simpson MD FACC FRCPC¹, Damian P. Redfearn MB ChB MRCPL¹, Kevin Michael MD¹, Mike Fitzpatrick MD FRCPI, FRCPC, D.ABSM²



Sleep Apnea: SA and Arrhythmias



Truths and Lies from the Polysomnography ECG Recording: An Electrophysiologist Perspective

- Polysomnography ECG recording is
- represented by a single lead and at
- times, it can be confusing. In this case,
- the patient was referred due to 2:1 block
- and in fact, the patient presented
- RV outflow tract premature contractions.



Sleep Apnea: Role for pacing

Physiologic Pacing in Patients With Obstructive Sleep Apnea

A Prospective, Randomized Crossover Trial

Andrew D. Krahn, MD,* Raymond Yee, MD,* Mark K. Erickson, BSc,† Toby Markowitz, BSEE,† Lorne J. Gula, MD,* George J. Klein, MD,* Allan C. Skanes, MD,* Charles F. P. George, MD,* Kathleen A. Ferguson, MD*

Parameter	Control	AAI Pacing	Difference (95% CI)	p Value
Apnea hypopnea index	42.1 ± 20.7	38.6 ± 20.5	-3.4 (-9.3 to 2.5)	0.23
Heart rate (beats/min)	65 ± 7	77 ± 1	12 (8 to 16)	0.001
Time in bed (min)	437 ± 45	417 ± 108	-19 (-87 to 48)	0.55
Minimum SaO ₂ (%)	77 ± 11	75 ± 10	-2 (-9 to 4)	0.38
% time SaO ₂ <90%	3.5 ± 4.3	3.8 ± 6.0	0.3 (-1.4 to 2.1)	0.70
Apnea hypopnea duration (s)	28.4 ± 4.7	33.1 ± 11.2	4.7 (0.7 to 8.7)	0.11
Circulatory time (s)	25.5 ± 4.4	23.4 ± 3.2	-2.1 (-4.7 to 0.4)	0.09

Physiologic pacing did not show benefits in patients with OSA

Atrial overdrive pacing: 12 RCT since 2002...

Effects of physiological cardiac pacing on sleepdisordered breathing in patients with chronic bradydysrhythmias Psychiatry and Clinical Neurosciences (2001), 55, 257-258

> Atrial Overdrive Pacing for the Obstructive Sleep Apnea–Hypopnea Syndrome



Atrial overdrive pacing compared to CPAP in patients with obstructive sleep apnoea syndrome

Overdrive atrial pacing does not improve obstructive sleep apnoea syndrome Eur Respir J 2005; 25: 343-347

Effect of atrial overdrive pacing on obstructive sleep apnea in patients with systolic heart failure sleep Medicine 8 (2007) 31-36

Atrial overdrive pacing in sleep apnoea: a meta-analysis

Adrian Baranchuk¹*, Jeff S. Healey², Christopher S. Simpson¹, Damian P. Redfearn¹, Carlos A. Morillo², Stuart J. Connolly², and Michael Fitzpatrick¹

Review: Comparison: Outcome:	OSA_AOP_Baranchuk 01 Apnea-Hypopnea Inde 01 AHI	x						
Study or sub-category	y N	Treatment Mean (SD)	N	Control Mean (SD)	V	VMD (random) 95% Cl	Weight %	VVMD (random) 95% Cl
Carrique	15	11 00/14 00)	15	28 00/22 001			6 40	-17 00 (-20 20 -2 90)
Luthie	20	10 90/1 00)	20	13 40/1 40)			42 12	-2 50 [-3 25 -1 75]
Penin	17	50 00(24 00)	17	46.00(29.00)			3.73	4 00 [-13 89 21 89]
Simantirakis	16	49,20(19,00)	16	49.00(19.00)			6.42	0.20 [-12.97. 13.37]
Unterberg	10	39.10(22.30)	10	41.00(35.20)			1.88	-1.90 [-27.73, 23.93]
Guo	16	10.00(13.00)	16	28.00(21.00)			7.40	-18.00 [-30.10, -5.90]
Krahn	15	39.00(21.00)	15	42.00(21.00)			5.11	-3.00 [-18.03, 12.03]
Melzer	19	23.00(16.70)	19	26.80(17.10)			8.97	-3.80 [-14.55, 6.95]
Sharafkeneh	33	18.00(16.60)	33	24.00(18.90)		-	12.57	-6.00 [-14.58, 2.58]
Shalaby	14	31.00(17.00)	14	32.00(22.00)		+	5.40	-1.00 [-15.56, 13.56]
Total (95% CI)	175		175			•	100.00	-4.65 [-8.27, -1.03]
Test for heterog	geneity: Chi ² = 12.26, df = 9	(P = 0.20), I ² = 26.6%				š.		
Test for overall	effect: Z = 2.52 (P = 0.01)							
					-100 -50	0 50	100	
					Favours treat	ment Favours con	trol	

Reduction of AHI in 5 points: Statistically significant but... No clinical relevance!!!

Sleep Disordered Breathing And Ventricular Arrhythmias: Mechanisms and Implications

	SA (N=26)	NO SA (N=106)	р
Incidence of Therapies			
Appropriate (%)	31	17	0.09
Inappropriate (%)	3.8	9.4	NS
Time to First Appropriate Therapy (months \pm SD)	8.0 ± 5.2	11.89 ± 5.9	0.12
Incidence of Arrhythmias			
NSVT (%)	34.6	50.0	NS
SVT (%)	26.9	30.1	NS



Baranchuk et al. ICRJ 2008;2(1):10-13

Sleep Apnea: Coronary Artery Disease

ncreased incide	ence of c	coronar	y artery					
disease in sleep	apnoea	: a long	g-term			β	RR (95% CI)	p-value
ollow-up	Eur Res	spir J 2006:	28:596-602	Constant		-22.84		
		<u> </u>		OSA at ba	seline	1.53	4.60 (1.83-11.6)	0.001
(n=308)				Time since	baseline yrs	0.19	1.21 (1.01-1.45)	0.043
				SBP at bas	seline mmHa	0.04	1.03 (1.01-1.05)	< 0.001
Follow up: 7 y	/ears			Current an	e vrs	0.06	1.06 (1.02–1.11)	0.007
$SA \cdot AHI > 30$				Se O min of	bacolino %	0.11	1.11 (1.02-1.22)	0.010
SA. AIII = 50				Sa,O ₂ ,min ai	baseline %	0.11	1.11 (1.02=1.22)	0.019
BASAL	OSA	Non-OSA	p-value#					
				0.20 J				
Subjects n	105	203		0.18-				/
Age yrs	51.8±8.9	47.6±10.2	< 0.001	0.16				
BMI kg·m*	28.6±4.0	25.9±3.7	<0.001	0.16				
DBP mmHa	130.4±10.3 82.2±0.4	786±103	0.003	₽ 0.14 -				/
OD events 6 h ⁻¹	94.1+86.0	89+83	< 0.001	0 12			/	
ODI events-h ⁻¹	17.8±15.1	1.6±1.7	< 0.001	5 0.12				
Sa,O ₂ ,min %	80.5±7.8	88.6±4.2	< 0.001	≣ 0.10-				
Males	91 (86.7)	154 (75.9)	0.026	କ୍ଷି 0.08-	\ A / ! (.			
Hypertension	30 (28.6)	33 (16.3)	0.011	8	VVIth	SA		
Diabetes mellitus	2 (1.9)	2 (1.0)	NS	₫ 0.06-		/	/ W/	'o SA
Smokers	35 (34.0)	80 (41.5)	NS	0.04				********
CAD incidence	17 (16.2)	11 (5.4)	0.003	0.00	/			
Cardiovascular death	8 (7.6)	1 (0.5)	< 0.001	0.02 -				
				0.00	1 1		1 1	
				0	1 2	3 4	5 6	7 8
					1	Time since b	aseline yrs	

Sleep Apnea: Coronary Artery Disease

Sleep-disordered Breathing and Cardiovascular Disease

Cross-sectional Results of the Sleep Heart Health Study

AMERICAN JOURNAL OF RESPIRATORY AND CRITICAL CARE MEDICINE VOL 163 2001

(n=6424)

AHI divided into quartiles

Multivariate analysis and adjusted by co-variables

			Quartile		
	Т	Ш	111	IV	p Value ¹
Coronary heart disease					
Full model	1.0	1.01	1.20	1.22	0.08
		(0.77-1.32)	(0.92-1.57)	(0.93-1.59)	
Parsimonious model	1.0	0.92	1.20	1.27	0.004
		(0.71-1.20)	(0.93-1.54)	(0.99-1.62)	
Heart failure					
Full model	1.0	1.19	1.96	2.20	0.008
		(0.56-2.53)	(0.99-3.90)	(1.11-4.37)	
Parsimonious model	1.0	1.13	1.95	2.38	0.002
		(0.54-2.39)	(0.99-3.83)	(1.22-4.62)	
Stroke					
Full model	1.0	1.24	1.38	1.55	0.06
		(0.76-2.01)	(0.86-2.83)	(0.96 - 2.50)	
Parsimonious model	1.0	1.15	1.42	1.58	0.03
		(0.72-1.83)	(0.91-2.21)	(1.02 - 2.46)	
			- /		

Associated mechanisms

- 1. Hypertension
- 2. Daytime sympathetic hyperactivity
- 3. Hypoxemia
- 4. ↑ platelet aggregation
- 5. Acute rupture of plaque
- 6. Pulmonary hypertension

Sleep Apnea : Coronary Artery Disease

Benefits of obstructive sleep apnoea treatment in coronary artery disease: a long-term follow-up study^{*}

- (n=54)
- Patients with SA + CAD
- CPAP/ surgery vs no treatment
- Composite end-point: cardiovascular mortality, ischemic event, hospitalization by CHF, revascularization

TreatmentNo treatment6/25 (24%)17/29 (58%)HR 0.24 (95% CI 0.09 – 0.62)P<0.01</td>



Who is interested in this topic...?

Milleron O. Eur H Journal 2004;25:728-734

Sleep Apnea and Cardiovascular Disease An American Heart Association/American College of Cardiology Foundation Scientific Statement From the American Heart Association Council for High Blood Pressure Research Professional Education Committee, Council on Clinical Cardiology, Stroke Council, and Council on Cardiovascular Nursing Council

In Collaboration With the National Heart, Lung, and Blood Institute National Center on Sleep Disorders Research (National Institutes of Health)





Sleep Apnea: Stroke

Investigating the Relationship Between Stroke and Obstructive Sleep Apnea

Should we refine the CHADS₂ score?



SA & Heart Failure Mechanism of interaction



SA & Heart Failure Clinical scenarios

1. Left ventricular dysfunction

(n=47) EF < 40% AHI > 15

<u>Results</u>

SA association: 55%
 More frequent in CAD

Central Sleep Apnea in Left Ventricular Dysfunction Prevalence and Implications for Arrhythmic Risk

Paola A. Lanfranchi, MD; Virend K. Somers, MD, PhD; Alberto Braghiroli, MD; Ugo Corra, MD; Ermanno Eleuteri, MD; Pantaleo Giannuzzi, MD

Background—The prevalence and characteristics of sleep-disordered breathing in patients with asymptomatic left ventricular (LV) dysfunction are unknown. Therefore, we evaluated the prevalence of sleep-disordered breathing in patients with LV dysfunction without overt heart failure and tested the hypothesis that sleep-disordered breathing is linked to greater hemodynamic and autonomic impairment.

Methods and Results—We studied 47 patients with LV ejection fractions $\leq 40\%$ without any history of heart failure. Central sleep apnea (CSA), as defined by an apnea-hypopnea index $\geq 15/h$, was present in 26 patients (55%), 17 (36%) of whom had severe CSA (apnea-hypopnea index $\geq 30/h$). Obstructive sleep apnea was evident in 5 patients (11%). The prevalence and severity of CSA were higher in patients with ischemic cardiomyopathy than in patients with nonischemic cardiomyopathy (P<0.05). Exercise tolerance and echocardiographic indices of systolic and diastolic function were similar in patients with OCSA, with mild CSA, and with severe CSA. Heart rate variability was markedly depressed in patients with CSA (P<0.05). Patients with severe CSA also had a higher incidence of nonsustained ventricular tachycardia (P=0.05).

Conclusions—CSA is highly prevalent in patients with asymptomatic LV dysfunction. The severity of CSA may not be related to the severity of hemodynamic impairment. Severe CSA is associated with impaired cardiac autonomic control and with increased cardiac arrhythmias. (Circulation. 2003;107:727-732.)

Key Words: sleep
nervous system, autonomic
tachyarrhythmias
heart failure

In patients with overt heart failure, there is a high prevalence of nocturnal periodic breathing with central apneas (central sleep apnea: CSA).¹⁻⁴ CSA is associated with increased arrhythmic risk² and may indicate increased mortality in heart failure.⁴ Autonomic responses to CSA may contribute to the adverse prognosis in these patients.^{2,2} Patients with left ventricular (LV) dysfunction without heart failure also have neurohumoral activation and are at risk for progression to Methods

We prospectively studied consecutive patients referred to the Cardiology Department of the Medical Center of Rehabilitation, Veruno, Italy, between January 1999 and December 2000 who were found to have LV systolic dysfunction due to either ischemic or nonischemic cardiomyopathy. Patients were referred for one of the following: (1) functional evaluation of asymptomatic LV dysfunction, (2) evaluation of chest pain, or (3) rehabilitation after myocardial infarction or cardiac surgery. They were eligible



Circulation 2003,197:727-732

SA & Heart Failure Clinical scenarios

2. Congestive heart failure

(n=450) EF 27.3±15% NYHA II: 62%, NYHA III: 34% AF: 15% AHI > 20

<u>Results</u>

SA (AHI>20) association: 53%
 More frequent in AF (p<0.01)

Risk Factors for Central and Obstructive Sleep Apnea in 450 Men And Women with Congestive Heart Failure

DON D. SIN, FABIA FITZGERALD, JOHN D. PARKER, GARY NEWTON, JOHN S. FLORAS, and T. DOUGLAS BRADLEY

Sleep Research Laboratory of the Toronto Rehabilitation Institute and the Departments of Medicine, The Toronto Hospital and Mount Sinal Hospital, University of Toronto, Toronto, Ontario, Canada

In previous analyses of the occurrence of central (CSA) and obstructive sleep apnea (OSA) in patients with congestive heart failure (CHF), only men were studied and risk factors for these disorders were not well characterized. We therefore analyzed risk factors for CSA and OSA in 450 consecutive patients with CHF (382 male, 68 female) referred to our sleep laboratory. Risk factors for CSA were male gender (odds ratio [OR] 3.50; 95% confidence interval [CI], 1.39 to 8.84), atrial fibrillation (OR 4.13; 95% CI 1.53 to 11.14), age > 60 yr (OR 2.37; 95% CI 1.35 to 4.15), and hypocapnia (Pco₂ < 38 mm Hg during wakefulness) (OR 4.33; 95% CI 2.50 to 7.52). Risk factors for OSA differed by gender: in men, only body mass index (BMI) was significantly associated with OSA (OR for a BMI > 35 kg/m², 6.10; 95% CI 2.86 to 13.00); whereas, in women, age was the only important risk factor (OR for age > 60 yr, 6.04; 95% CI 1.75 to 20.0). We conclude that historical information, supplemented by a few simple laboratory tests may enable physicians to risk stratify CHF patients for the presence of CSA or OSA, and the need for diagnostic polysomnography for such patients. Sin DD, Fitzgerald F, Parker ID, Newton G, Floras JS, Bradley TD. Risk factors for central and obstructive sleep apnea in 450 men and women with congestive heart failure. An IEEER CERT CARE ME 1990 THE CERT CARE ME 1990 THE INFORMATION THE INFORMATI

Obstructive sleep apnea (OSA) is an important risk factor for the development of hypertension, angina pectoris, myocardial infarction, and cor pulmonale (1-4). More recent data suggest that sleep apnea can also lead to the progression of cardiac dysfunction in patients with chronic congestive heart failure (CHF) (5. 6). This adverse effect on cardiac function probably arises from repetitive apneas causing arterial oxyhemoglobin desaturation, excessive stimulation of the sympathetic nervous system, and increases in systemic blood pressure (5-7).

The presence of central sleep apnea (CSA) in patients with CHF is associated with a significantly increased risk for death and cardiac transplantation (8, 9). In addition, fragmentation of sleep architecture by frequent arousals can also lead to the development of excessive daytime sleepiness and fatigue in CHF patients with either OSA or CSA (5, 6, 10). Treatments specifically aimed at OSA and CSA in patients with CHF have been shown to improve cardiovascular function and clinical status. For example, continuous positive airway pressure (CPAP) has been shown to alleviate both OSA and CSA, and to improve left ventricular ejection fraction (LVEF), decrease urinary and plasma norepinephrine concentrations, and improve

(Received In original form March 1, 1999 and in revised form May 19, 1999) Supported by an operating grant from the Ontario Thoracic Society.

D. Sin is supported by a research fellowship from the Alberta Heritage Foundation for Medical Research. G. Newton is supported by a Besearch Scholarbip from the Heart and Stroke Foundation of Ontaria, and J. Floras is supported by a Career Investigator award from the Heart and Stroke Foundation of Ontario. Correspondence and requests for reprints should be addressed to T. Douglas

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symptoms of heart failure (5, 11, 12). Oxygen also alleviates CSA and reduces nocturnal urinary norepinephrine concentrations (13).

A recent report suggests that both CSA and OSA are common in the CHF population (14). The clinical characteristics of patients with CSA appear to differ from those with OSA, probably reflecting important differences in the underlying pathophysiologies of these two breathing disorders. In a study of men with CHF. Javaheri and coworkers found that those with OSA were heavier and had a higher prevalence of snoring than those with CSA or no sleep apnea (14). Patients with CSA, on the other hand, had a lower LVEF. However, because only 81 patients (of whom only nine had OSA) were evaluated in their study, other factors that distinguish risk for OSA from those for CSA or no sleep-related breathing disorder (SBD) may have escaped detection. More importantly, because only men were studied, risk factors for sleep apnea in women with CHF remain unknown. Indeed, risk factors for CSA in women either with or without CHF have not been reported. The purpose of our study, therefore, was twofold: first, to determine the overall risk factors for CSA and OSA in a large group of 450 patients with CHF referred to our sleep laboratory; and second, to determine whether there are differences in risk factors for CSA and OSA between men and women with chronic, stable CHF.

METHODS

Subjects

We conducted a retrospective analysis of 450 consecutive patients with CHF, referred to the Toronto Rehabilitation Institute Sleep Research Laboratory between July 1987 and November 1998. All patients were referred to the sleep laboratory by cardiologists. The crite-

SA & Heart Failure **Clinical scenarios**

3. Diastolic dysfunction

(n=20) NYHA II-III AHI > 10

Results

- 1. SA (AHI>10) association: 55%
- 2. Time of deceleration (p<0.05)





1997.

clinical investigations

Prevalence of Sleep-Disordered Breathing in Diastolic Heart Failure*

Joseph Chan, MBBS; John Sanderson, MD; Wilson Chan, MBBS; Christopher Lai, DM, FCCP; Dominic Choy, MBBS; Alice Ho, MBBS; and Roland Leung, MD, FCCP

Objective: Sleep-disordered breathing (SDB) is common in congestive heart failure. While isolated diastolic heart failure (DHF) accounts for up to a third of all cases of congestive heart failure, the prevalence of SDB in DHF is unknown. We aim to determine the prevalence and characteristics of SDB in a group of patients with symptomatic DHF.

Methods: Twenty subjects with symptomatic DHF (New York Heart Association class II or III) and isolated diastolic dysfunction on echocardiography were assessed with lung function tests, modified sleep and health questionnaire, and overnight polysomnography. Significant SDB was defined as an apnea/hypopnea index (AHI) >10.

Results: Thirteen female and seven male subjects (mean age, 65±6.0 years; mean body mass index (BMI), 28±3.2) were evaluated, of whom 17 (85%) had a diagnosis of hypertension. Overall sleep quality was poor, with fragmentation and frequent arousals associated with respiratory events. Fifty-five percent of the patients had significant SDB, mainly obstructive apneas. BMI and the prevalence of hypertension were similar in patients with and without SDB. The deceleration time, an index of diastolic dysfunction, was more prolonged in the group with SDB (236±40 ms vs 282±31 ms; p<0.05). As a group, a lower minimum percentage arterial oxygen saturation during sleep, but not the AHI was associated with more severe degree of diastolic dysfunction on echocardiogram, including a lower ratio between the early peak transmittal flow velocity and the late peak atrial systolic velocity (rho=0.57; p<0.05) and a prolonged isovolumic relaxation time (rho=-0.54; p<0.05).

Conclusions: SDB is common in patients with DHF. Patients with DHF and SDB may be associated with worse diastolic dysfunction than those without SDB, although a causal relationship rengerus zu is Si iz



ection frac h increased echanism of orly underlelav in cirosensitivity y to hyperrways preseffective in in patients

SA & Cardiac Resynchronization Therapy

Cardiac Resynchroniz Central Sleep Apnea Respiration in Patient Anil-Martin Sinha, MD, DPHIL,* E Christine Norra, MD,† Kai U. Mark Christoph Stellbrink, MD, FESC* <i>Aachen, Germany</i>	and Chey and Chey ts With C rik C. Skobel, M cus, MD,* Christ	I re AD,* , MD, FESC, FACC,*	(n=24) Non-randomize Ambulatory poly	d study /somnography	
		All Patients (n = 24)	CSA (n = 14)	No CSA (n = 10)	p Value (CSA vs. No CSA)
Cardiorespiratory polygraph					
Duration of recording (h)	Pre	8 ± 2	8 ± 2	8 ± 3	NS
	CRT	8 ± 3	8 ± 3	8 ± 2	NS
AHI (per h)	Pre	11.9 ± 11.7 (1-42)	19.2 ± 10.3 (9-42)	1.7 ± 0.7 (1-3)	0.00001
	CRT	3.3 ± 3.8* (0-12)	4.6 ± 4.4* (0-12)	$1.5 \pm 1.6 (0-4)$	NS
SaO ₂ min (%)	Pre	88 ± 5 (70-92)	84 ± 5 (70-90)	$90 \pm 2 (88 - 92)$	< 0.05
2	CRT	$90 \pm 2^{*}(85-93)$	89 ± 2* (85-90)	$91 \pm 1 (90 - 93)$	NS
PSOI	Pre	$7.5 \pm 4.2 (2-14)$	$10.4 \pm 1.6 (8-14)$	$2.4 \pm 0.5 (2-4)$	< 0.05
-	CRT	3.5 ± 2.2* (2-10)	3.9 ± 2.4* (2-10)	$2.6 \pm 0.9 (2-4)$	NS

- 1. Significant reduction of AHI (pre CRT vs post CRT)
- 2. Significant increment of SaO₂
- 3. Significant reduction of PSQI (Pittsburgh Sleep Quality Index)

JACC 2004,44:68-71

SA & Cardiac Resynchronization Therapy



Data are presented as mean±sp, unless otherwise stated. AHI: apnoeahypopnoea index; CSR: Cheyne-Stokes respiration; S_{a,O_2} : arterial oxygen saturation; $P_{CO_2,tc}$: transcutaneous partial pressure of carbon dioxide; PB: periodic breathing; LFCT: lung-to-finger circulation time. [¶]: includes mixed apnoeas in addition to CSR and obstructive apnoeas. *: p<0.05 versus pre-CRT; [#]: p=0.09.

6/10 have significantly reduced AHI Two thirds have reduced CSR

Eur Respir J 2006,26:95-100

Sleep Apnea : SA & Hypertension

PROSPECTIVE STUDY OF THE ASSOCIATION BETWEEN SLEEP-DISORDERED BREATHING AND HYPERTENSION

PAUL E. PEPPARD, PH.D., TERRY YOUNG, PH.D., MARI PALTA, PH.D., AND JAMES SKATRUD, M.D.

NEJM 2000;342:1378-84

 TABLE 3. ADJUSTED ODDS RATIOS FOR HYPERTENSION AT A FOLLOW-UP SLEEP STUDY, ACCORDING TO THE APNEA-HYPOPNEA INDEX AT BASE LINE.*
 HTN + BMI + Alc/

		HTN	— HTN + BMI —	smoking
Base-Line Apnea – Hypopnea Index	Odds Ratio, Adjusted for Base-Line Hyper- tension Status	Odds Ratio, Adjusted For Base-Line Hyper- tension Status and Nonmodifiable for Base-Line Hyper- tension Status for Base-Line Hyper- tension Status modifiable Risk factors (Age BMI and Waist and Sex) Neck Circumfere		Odds Ratio, Adjusted for Base-Line Hyper- tension Status, Non- modifiable Risk Fac- tors, Habitus, and Weekly Alcohol and Cigarette Use
		odds ratio (95% co	onfidence interval)	
0 events/hr†	1.0	1.0	1.0	1.0
0.1-4.9 events/hr	1.66 (1.35-2.03)	1.65 (1.33-2.04)	1.42(1.14 - 1.78)	1.42 (1.13-1.78)
5.0–14.9 events/hr	2.74 (1.82-4.12)	2.71 (1.78-4.14)	2.03 (1.29-3.19)	2.03 (1.29-3.17)
≥15.0 events/hr	4.54 (2.46-8.36)	4.47 (2.37-8.43)	2.89 (1.47-5.69)	2.89 (1.46-5.64)
P for trend‡	<0.001	<0.001	<0.002	<0.002

(ก=กบุษ)-

SA & Hypertension Large population studies

Wisconsin Sleep Study¹: (n=709), follow up 8 years Sleep Heart Health Study²: (n=6841), follow up 3 years

	Wisconsin Sleep Study			Sleep Heart Health Study	
Punto de corte IAH	Prevalencia HTA (%)	OR* (IC del 95%)	Punto de corte IAH	Prevalencia HTA (%)	0R* (IC del 95%)
0	17	1	< 1,5	43	1
0,1-4,9	28	1,39 (1,04-1,84)	1,5-4,9	53	1,07 (0,91-1,26)
5-14,9	48	1,92 (1,09-3,39)	5-14,9	59	1,20 (1,01-1,42)
≥ 15	60	2,66 (1,13-6,25)	15-29,9	62	1,25 (1,00-1,56)
			≥ 30	67	1,37 (1,03-1,83)

The greater the severity of SA, the association with HTN increases

The question is: Is there any causality?

SA & Hypertension Pathophysiology

Fisiopatología de la hipertensión asociada al síndrome de apnea obstructiva del sueño: Evidencia de estudios clínicos y modelos animales de hipoxia crónica intermitente







Rey et al. 2008

SA & Pulmonary Hypertension The controversy remains...

Pulmonary Artery Hypertension and Sleep-Disordered Breathing*

ACCP Evidence-Based Clinical Practice Guidelines Chest 2004;126;72-77

RECOMMENDATIONS

In the evaluation of pts with pulmonary HTN, evaluation

is mandatory (evidence: low, yield: poor, class C)

In the evaluation of pts with pulmonary HTN by SA,

polysomnography is indicated (evidence: expert's opir intermediate, class B)

In the management of pts with SA, routine pulmona

evaluation is NOT advised (evidence: low, yield: no, c

4. In pts with SA and pulmonary HTN, CPAP reduces pressure (but does NOT normalize it) (evidence: low,

poor, class C)

				-			Prevalence	
	AHI or	BMI				PAP Measurement	of PH, No/Total	Factors With Significant
Source	RDI, /h	(or %IBW)‡	%COP	D	Definition of PH	Method	(%)	Association with PH
Alchanatis et al, ¹⁰ 2001	> 15	NR	NR	1	mPAP > 20 mm Hg	RH cath	6/29 (20.7)	Age (p < 0.05) BMI (p < 0.02)
Apprill et al, ¹¹ 1991	NR	NR	NR	1	mPAP ≥ 20 mm Hg	RH cath	9/46 (20)	Daytime PO ₂ ($p < 0.05$) Daytime PO ₂ ($p < 0.001$) Daytime PO ₂ ($p < 0.001$) EVC and FEV. ($p < 0.001$)
Bady et al,12 2000	> 5	NR	Excluded	;	mPAP > 20 mm Hg	RH cath	12/44 (27)	Body weight $(p = 0.0002)$
Chaouat et al, ¹³ 1996	> 20	NR	NR	1	mPAP ≥ 20 mm Hg	RH cath	37/200 (17)	BMI ($p = 0.002$) FEV ₁ ($p < 0.001$) FEV ₁ /FVC ($p < 0.001$)
evaluat	ing	SA		1	mPAP ≥ 20 mm Hg	RH cath	19/100 (19)	$\begin{split} P_{D_2} & (p < 0.001) \\ AHI & (p < 0.001) \\ Mean notemal SaO_2 \\ & (p < 0.001)^{\dagger} \\ BMI & (p < 0.01) \\ PEV_1 / PVC < 65\% \\ PCo_2 & f \\ PO_2 & (p < 0.001) \\ PCo_2 & (p < 0.001) \\ ILC, & FEV_1, FEV_1 / PVC, \end{split}$
					mPåP ≥ 90 mm Hø	BH outh	49/100 (49)	%FVC (p < 0.001) AHI, apnea inder (p < 0.001) Daytime SaO ₂ (p < 0.001) Daytime SaO ₂ (p < 0.001)
SA,				:	merte 2 20 min Hg	rie can	42/100 (42)	$PO_2 (p < 0.001)^{\dagger}$ $PCO_2 (p < 0.001)$ $FEV_1 (p < 0.001)^{\dagger}$ $FEV_1/FVC (p = 0.03)$ $SaO_2 (p = 0.01)$
opinic	on, y	/ield:	:	1	mPAP > 20 mm Hg	RH cath	10/19 (52.6)	$\begin{array}{l} BMI \; (p < 0.01) \\ Awake \; pH \; (p < 0.05) \\ PaCO_2 \; (p < 0.05) \\ VC \; (p < 0.01) \\ No \; difference \; in \; FEV_{1'} \\ FVC, \; FRC \; \% pred \end{array}$
				1	NS	RH cath	13/65 (20) 31/65 (48) with exercise	NR
				1	mPAP ≥ 20 mm Hg	Doppler echo	11/32 (34)	No difference in age, BMI,
nonary	HI	N		1	mPAP ≥ 20 mm Hg	RH cath	18/92 (20)	PCWP ($p < 0.005$) Sleep time with SpO ₂ < 90% (p < 0.05)
no, clas	ss I))	i@ pa	b) : atients	mPAP ≥ 20 mm Hg	RH cath	9/46 (20)	$\begin{array}{l} \label{eq:FVC_started} FVC, FVC \ \mbox{FeV}_1, \\ FEV_1/FVC \ \mbox{$(p < 0.001)$} \\ PaO_2 \ \mbox{$(p < 0.001)$} \\ PaCO_2 \ \mbox{$(p < 0.001)$} \end{array}$
				1	NR	Doppler echo	8/37 (22)	Minimum SpO_2 (p = 0.051)
ces pu	ulmo	onary	re ty;	deal bo sported ; Sao <u>s</u>	ody weight; %OOP ; RH cath = right- = arterial oxygen	D = percenta -heart catheter saturation; VC	ge of patients rization; echo = C = vital capac	with COPD; TLC = total = echocardiography; FRC ity; NS = not significant;
			ot	tion wi	th PH not only in	univariable an	alysis, but also	in multivariable analysis.
ow, yie	eld:		ad	licated.				
			Н	on	in each of t	hese studie	es was < 30	mm Hg; in most, it

PAP

from 17 to 53%

Sleep Apnea & Left ventricular hypertrophy

Prevalence of Left Ventricular Hypertrophy in Persons With and Without Obstructive Sleep Apnea

(Cardiology in Review 2006;14: 170-172)

- (n=53)
- RDI > 5, severe > 30
- LVH: ♀ 110 g/m² / ♂ 134 g/m²

·	• •	-	
Variable	OSA (n = 40)	No OSA $(n = 13)$	Р
Age (years)	51 ± 8	50 ± 8	NS
Men	24 (60%)	3 (23%)	< 0.023
Women	16 (40%)	10 (77%)	< 0.023
Hypertension	17 (43%)	5 (38%)	NS
Diabetes mellitus	9 (23%)	3 (23%)	NS
Body mass index ≥30 kg/m ²	22 (55%)	7 (54%)	NS
Coronary artery disease	10 (25%)	4 (31%)	NS

· · ·	LVH
Moderate or severe OSA ($n = 27$)	21 (78%)
Mild OSA $(n = 13)$	6 (46%)
No OSA $(n = 13)$	3 (23%)
P < 0.001 comparing moderate or severe OSA with no OSA.	
P < 0.05 comparing moderate or severe OSA with mild OSA.	

The link between SA and LVH could be *hypertension, however, children and teenagers with SA may develop LVH w/o having clinical HTN*

Sleep Apnea & **Autonomic Nervous System**

			1110031170			
HRV Data	Mild OSAS (n=19)	Severe OSAS (n=17)	(n=24)	Р1	P2	P3
SDNN (ms)	124.94	120.38	131.05	<0.05	<0.01	NS
SDANN (ms)	112.29	108.63	126.95	<0.05	<0.05	NS
RMSSD (ms)	35.11	31.54	44.16	NS	<0.05	NS
Triangular index*	33.88	32.33	36.90	NS	NS	NS
Total power (ms²)	1,405.35	1,695.63	1,290.42	<0.01	<0.01	NS
Ultra low frequency (ms²)	165.24	137.54	119.05	<0.01	<0.05	NS
Very low frequency (ms²)	582.77	633.71	510.21	<0.05	< 0.05	NS
Low frequency (ms²)	432.12	476.58	411.16	<0.05	<0.01	<0.05
High frequency (ms²)	341.12	332.50	351.47	<0.05	<0.01	NS
Low-frequency/high- frequency ratio	1:4	1:4	1:3	<0.05	<0.01	<0.05

Turkev

E-mail:

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- ↓ parasympathetic tone
- ↑ sympathetic tone



Sleep Apnea & HRV regulation

Determinants of heart rate variability in obstructive sleep apnea syndrome

during wakefulness and sleep



Sleep Apnea & Regulation of HRV

Dynamic time-varying analysis of heart rate and blood pressure variability in cats exposed to short-term chronic intermittent hypoxia



Rey et al. 2008

Sleep Apnea: Diagnosis: Polysomnography



Differences between obstructive apnea and central apnea



N Engl J Med, Vol. 346, No. 6 · February 7, 2002

Sleep Apnea : Treatment with CPAP



CPAP better than placebo (OR 2.94, p<0.001) Heterogeneity – p<0.001



- 1. Sleep Apnea is highly prevalent.
- Associated with cardiovascualr diseases such as CHF, HTN, Obesity and Metabolic Syndrome, Stroke, CAD, Autonomic Dysfunction, and Arrhythmias
 Knowing the pathophysiological mechanisms permits starting multifactorial treatments (lose weight, control HTN, prevent arrhythmias and infarctions).
- 4. Identifying patients with SA allows treatment with C-PAP
- 5. Evidence indicates that rapid atrial pacing should NOT be universally recommended.
- 6. In patients with central sleep apnea, cardiac resynchronization remarkably improves apnea indices. This should be considered when recommending CRT.